

Maceratio LLC

Form ADV Part 2B - Individual Disclosure Brochure

for

Nicholas Salas

Effective: 03/24/2024

This Form ADV2B ("Brochure Supplement") provides information about the background and qualifications of Nicholas Salas (CRD# **2546483**). If you have not received a copy of this Brochure Supplement or if you have any questions about the contents of this brochure, please contact us at +17862663329 or by email at contact@maceratio.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Nicholas Salas is available on the SEC's website at www.adviserinfo.sec.gov.

Nicholas Salas

Item 2 - Educational Background and Business Experience

Legal Name: Nicholas Salas (CRD# 2546483)

Year of Birth: 1967

Education: -Florida Int'l University - Interdisciplinary Social Studies
-Florida State University - Juris Masters (Master of Law)
-Certified Regulatory Compliance Professional - Wharton School, University of Pennsylvania
-AML Compliance Professional (FIU/FIBA)

Business Experience: Maceratio - Investment Adviser April 2019 - Present
RISC - Securities Consultant. Feb 2017 - Present

Certifications: Series 4 - Options Principal
Series 7 - FINRA General Securities Representative
Series 9 - Options Branch Supervision
Series 10 - General Securities Branch Supervision
Series 14 - Compliance Officer
Series 24 - FINRA General Securities Principal
Series 63 - Uniform Securities Agent State Law Exam
Series 65 - NASAA Uniform Investment Adviser Law Exam.
Series 73 - Government Securities
Series 79 - Investment Banking

Item 3 - Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Salas. Mr. Salas has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Salas.

Item 4 - Other Business Activities

Mr. Salas is the owner of Risk & Compliance Consulting LLC and provides consulting services to financial firms. He allocates time approximately as follows: Maceratio LLC (RIA) 30%, and 70% to Risk & Compliance Consulting LLC. Mr. Salas does not provide investment advice to any other Investment Adviser.

Broker-Dealer Affiliations

Mr. Salas, in separate capacity as a Registered Rep of various Broker Dealers, for Compliance Consulting purposes, will not receive any commissions for the implementation of recommendations for commissionable transactions, nor will Mr. Salas benefit from revenue generated from BDs. Clients are not obligated to implement any recommendation provided by Mr. Salas.

Item 5 - Additional Compensation

MAC discloses to Clients the existence of all material conflicts of interest, including the potential for MAC, its management persons, and/or employees to earn compensation from clients in addition to advisory fees.

Mr. Salas does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 - Supervision

Mr. Salas serves as the CIO of Maceratio LLC ('Firm'). Mr. Salas can be reached at +17862663329 or by email at contact@maceratio.com.

Firm has implemented a Code of Ethics, an internal compliance document that guide each Supervised Person in meeting their fiduciary obligations to Clients. Further, Firm is subject to regulatory oversight by various agencies. These agencies require registration by Maceratio LLC and its Supervised Persons. As a registered entity, Maceratio LLC is subject to examinations by regulators, which may be announced or unannounced. Firm is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.

Item 7 - Requirements for State Registered Advisors

A. Arbitrations and Regulatory Proceedings

State regulations require disclosure if any Supervised Person of the Advisor is subject to:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a. an investment or an investment-related business or activity;
 - b. fraud, false statement(s), or omissions;
 - c. theft, embezzlement, or other wrongful taking of property;
 - d. bribery, forgery, counterfeiting, or extortion; or
 - e. dishonest, unfair, or unethical practices.

Mr. Salas does not have any disclosures to make regarding this Item.

B. Bankruptcy

If a Supervised Person has been the subject of a bankruptcy petition, that fact and the details must be disclosed.

Mr. Salas does not have any disclosures to make regarding this Item.